Petroleum Coke: Industry and Environmental Issues

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Summary

In early 2013, media outlets around Detroit, Michigan began publishing stories about large piles of petroleum coke stored along the Detroit Riverfront. Petroleum coke (petcoke) is a black-colored solid composed primarily of carbon, and may contain limited amounts of elemental forms of sulfur, metals and non-volatile inorganic compounds. Petcoke is essentially chemically inert. Petcoke exposure is considered to pose few human health or environmental risks, but may present significant nuisance concerns. The material in Detroit was the byproduct of the nearby Marathon Refinery’s processing of heavy crude oils derived, in part, from Canadian oil sands deposits. The situation gained national attention with the publication of an article in the New York Times (“A Black Mound of Canadian Oil Waste Is Rising over Detroit,” New York Times, May 17, 2013). The piles of petcoke sparked local concerns over the potential impacts of the material on human health and the environment, and whether these concerns were adequately addressed by local, state, and federal regulations. As petroleum refining is a nationwide commercial industry, these concerns may arise in other regions.

Petcoke is a co-product of several distillation processes used in refining heavy crude oil. Nearly half of U.S. petroleum refineries (56 or more) use a coking process to convert heavy crude oils into refined petroleum products, and more refineries may follow suit to take advantage of the supply of heavy crude oils from Canada’s oil sands projects. Although it is a refining co-product, petcoke has economic value as both a heating fuel and raw material in manufacturing. In 2012, the U.S. Energy Information Administration reported that U.S. refineries produced in excess of 56 million metric tons of petcoke, of which 80% was exported.

The U.S. Environmental Protection Agency has surveyed the potential human health and environmental impacts of petcoke through its High Production Volume (HPV) Challenge Program and found the material to be highly stable and non-reactive at ambient environmental conditions. Most toxicity analyses of petcoke find it has a low potential to cause adverse effects on aquatic or terrestrial environments as well as a low health hazard potential in humans, with no observed carcinogenic, reproductive, or developmental effects. Cases of repeated-dose and chronic inhalation of fugitive dust (as generated during petcoke handling and storage) in animal studies do appear associated with respiratory inflammation. Emissions from the combustion of petcoke, however, can have impacts on human health and the environment, including the release of common pollutants, hazardous substances, and high levels of the greenhouse gas, carbon dioxide.

While some federal statutes address certain environmental impacts of petcoke’s life-cycle, most regulatory action and oversight has been undertaken at the state and local levels, generally through facility-specific permitting requirements. Federally, petcoke is exempted from classification as either a solid or hazardous waste under the Resource Conservation and Recovery Act (RCRA) and is not considered a hazardous substance under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA). Petcoke facilities may be regulated under certain provisions of the National Pollutant Discharge Elimination System (NPDES) permit program, as authorized by the Clean Water Act (CWA), if it is determined that runoff from sites where it is stored has the potential to transport the substance to nearby surface waters. The handling of petcoke may also create instances of reduced air quality due to releases of fugitive dust into the atmosphere. Most of the impacts of fugitive dust are localized; and thus, much of the regulatory oversight is implemented at the local and state level. Whether such oversight is providing adequate protection is among the issues that have been raised.
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Background

Some Members of Congress have expressed concern about storage and management of petroleum coke (petcoke) in their districts. Despite a lack of data on observed health impacts, local concerns have included potential human health and environmental impacts of fugitive dusts and runoff into waterways. Broader concerns have also been raised about the carbon dioxide (greenhouse gas) emissions from petcoke combustion.

Petcoke is the co-product of several processes used in petroleum refining to upgrade “residuum” into gasoline and middle distillate-range fuels. Residuum (or resid) remains after refineries initially distill heavy crude oils. Petcoke is a black-colored solid composed primarily of carbon, and may contain limited amounts of elemental forms of sulfur, metals, and non-volatile inorganic compounds.

The petroleum industry and federal regulators characterize petcoke as a “co-product” because it may have some commercial value as a boiler fuel and as a raw material in manufacturing. Nearly half of U.S. petroleum refineries employ “coking” processes. Refineries also produce petcoke as a by-product of catalysis, which refineries later consume as a fuel.

In addition to the existing suite of coking refineries, other refineries may add coking processes to take advantage of increased supplies of heavy crude oils from Canada’s oil sand projects. Meanwhile, newly available light crude oil from U.S. unconventional shale projects and the Texas Permian Basin is leading some coking refineries to cut back on coking. At present, it is uncertain whether petcoke production will increase, remain steady or even decline, given the changing slate of U.S. crude oil supplies. Nevertheless, the export and demand for U.S. petcoke has been rising recently.

Community stakeholders have grown concerned over the potential effects on public health and the environment related to the production, storage, transportation, and use of petcoke. Some of these impacts include concerns over air quality due to fugitive dust, water quality due to run-off, and the potential for toxic and other emissions (including greenhouse gas emissions) from its combustion as a fuel source. In light of these concerns, industry, regulators, and compliance officers may be interested in best practices related to the storing, containing, and managing of petcoke.

Petcoke Uses

Petcoke may be burned as fuel in industrial and power generating plants. Cement plants and power plants are currently the two greatest consumers of petcoke. There is some limited use as space heating and in commercial brick kilns in Europe, and a small but emerging market as a metallurgical coal blending component for the steel industry. In the United States, the high sulfur content may limit the petcoke in a coal/petcoke blend in a plant designed for coal. However, more recently designed Circulating Fluidized Bed (CFB) boilers can accommodate 100% high sulfur coke.

\[1\]

Fuel grade petcoke can substitute for “steam coal” in power plant boilers, having the advantage of a higher heating value (discussed below). Conventional coal-fired boilers can blend petcoke with steam coal, and newer boiler designs have replaced steam coal with petcoke entirely. Cement plants consume fuel-grade petcoke in rotary kilns.

Anode grade calcined petcoke is the principal raw material used in manufacturing carbon anodes for use in aluminum smelting. The anodes act as conductors of electricity and as a source of carbon in the electrolytic cell that reduces alumina into aluminum metal. Carbon anode manufacturers, predominantly captive operations of aluminum smelting companies, purchase anode grade calcined petcoke, mix it with pitch binders, press the mixture into blocks, and then bake the mixture to form a finished, hardened carbon anode.

**Petcoke Composition**

Petcoke is composed primarily of carbon. The specific chemical composition of petcoke depends on the composition of the petroleum feedstock used in refining. Petcoke impurities (i.e., the non-elemental carbonaceous substances) include some residual hydrocarbons left over from processing (referred to as volatiles), as well as elemental forms of nitrogen, sulfur, nickel, vanadium, and other heavy metals. These impurities exist as a hardened residuum captured within coke’s carbon matrix. Table 1 provides an observed range of petcoke properties for green and calcined petcoke.

<table>
<thead>
<tr>
<th>Composition</th>
<th>Green</th>
<th>Calcined</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carbon</td>
<td>89.58–91.80</td>
<td>98.40</td>
</tr>
<tr>
<td>Hydrogen</td>
<td>3.71–5.04</td>
<td>0.14</td>
</tr>
<tr>
<td>Oxygen</td>
<td>1.30–2.14</td>
<td>0.02</td>
</tr>
<tr>
<td>Nitrogen</td>
<td>0.95–1.20</td>
<td>0.22</td>
</tr>
<tr>
<td>Sulfur</td>
<td>1.29–3.42</td>
<td>1.20</td>
</tr>
<tr>
<td>Ash (including heavy metals such as nickel and vanadium)</td>
<td>0.19–0.35</td>
<td>0.35</td>
</tr>
<tr>
<td>Carbon-Hydrogen Ratio</td>
<td>18:1–24:1</td>
<td>910:1</td>
</tr>
</tbody>
</table>


**Notes:** The process of “calcining” converts green coke to almost pure carbon, with a defined structure to produce carbon anodes for the aluminum industry.

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2 Thermal coal is sometimes called “steam coal” because it is used to fire boiler plants that produce steam for electricity generation and industrial uses.
Petcoke Compared to Metallurgical Coke and Coal

Petcoke has a significantly high heating value compared to metallurgical coke (metcoke) and bituminous coals (see Table 2). The higher heating value comes at the cost of higher sulfur and nitrogen content, however. Ash content is relatively low, compared to coal, but much of it is in the form of heavy metals. Due to the severe thermal environment in which petcoke forms, there is very little combustible volatile material. The low volatile content, in comparison to coal and other fossil fuels, makes petcoke more difficult to ignite and sustain combustion.3

Bituminous coal includes two subtypes: thermal and metallurgical.4 Metallurgical coke is made from low ash, low sulfur bituminous coal, with special coking properties. To produce metcoke, special coke ovens heat metallurgical grade coal at temperatures of 1,000ºF to 2,000ºF to fuse fixed carbon and inherent ash, and drive off most of the volatile matter.5 Approximately 1.5 tons of metallurgical coal will produce one ton of metcoke. The final product is a nearly pure carbon source with sizes ranging from basketballs (foundry coke) to a fine powder (coke breeze).

Table 2. Petcoke vs. Metcoke and Coal

<table>
<thead>
<tr>
<th>Fuel</th>
<th>Coal Rank</th>
<th>Btu / lb.</th>
<th>$/Short Ton</th>
</tr>
</thead>
<tbody>
<tr>
<td>Petcoke</td>
<td>n.a.</td>
<td>14,200</td>
<td>See Note</td>
</tr>
<tr>
<td>Metcoke</td>
<td>Metallurgic</td>
<td>12,600</td>
<td>171.51</td>
</tr>
<tr>
<td>Steam Coal</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pittsburgh #8</td>
<td>Bituminous</td>
<td>13,000</td>
<td>68.25</td>
</tr>
<tr>
<td>Illinois #6</td>
<td>Bituminous</td>
<td>11,000</td>
<td>45.40</td>
</tr>
<tr>
<td>Powder River Basin</td>
<td>Sub-bituminous</td>
<td>8,800</td>
<td>10.30</td>
</tr>
</tbody>
</table>


Notes: Steam Coal Prices as of July 19, 2013. Petcoke prices track steam coal prices, but at a discount that may range from 15% to 85%. Recent prices have been closer to 67% of steam coal prices.

Petcoke Grades

The coking processes described above produce “green coke,” which then requires additional thermal processing to remove any residual hydrocarbons (volatile matter) to increase the percentage of elemental carbon. Thermal processing lowers the potential toxicity of the coke. Depending on the coking operation temperatures, length of coking-time, and quality of the crude oil feedstock, one of several grades of petcoke can be produced:

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4 Bituminous coals are mined throughout the eastern United States range but generally have higher sulfur and nitrogen contents than western coals.

• *sponge coke*, the most common type of regular-grade petcoke, used as a solid fuel (see Figure 1);

• *needle coke*, a premium-grade coke made from special petroleum feedstock, used in the manufacture of high-quality graphite electrodes for the steel industry;

• *shot coke*, produced from heavy petroleum feedstock, used as fuel, but less desirable than sponge coke (see Figure 2);

• *purge coke*, produced by flexi-coking, used as a fuel in coke-burning boilers; or

• *catalyst coke*, carbon deposited on catalysts, used in various refining processes and burned off and used as a fuel in the refining process; not recoverable in a concentrated form.

Figure 1. Sponge Coke


Figure 2. Shot Coke

Partially Crushed


### Coking Refineries and Outputs

The fleet of petroleum refineries operating throughout the United States has steadily declined in the past several decades as refining capacity has become concentrated in larger refineries. At present, some 115 refineries (and refinery complexes) produce over 17 million barrels per day of motor fuels and other petroleum products. Nearly half (56) have the coking capacity to convert heavy crude oils\(^6\) (see the Appendix to this report).

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\(^6\) For further background on the refining industry, see CRS Report R41478, *The U.S. Oil Refining Industry: Background in Changing Markets and Fuel Policies*, by Anthony Andrews et al.
Coking capacity has been concentrated in refineries operating along the Gulf Coast, the historic refining center of the United States and primary destination for crude oil imports. However, to take advantage of the increasing supply of heavier crude oils from Canada’s oil sands projects, several Midwest refineries have added coking conversion capacity.

U.S. refineries have the capacity to process 2.5 million barrels per day of petroleum resid. The Gulf Coast not only represents the greatest refining capacity (9.3 million barrels per day), it also represents also the greatest coking capacity (1.5 million barrels per day).

U.S. petcoke production has remained constant over the last decade for the reason that refining capacity has remained constant (see Figure 3). In 2012, the U.S. Energy Information Administration (EIA) reported that U.S. refineries produced 42 million metric tons of marketable petcoke and another 15 million metric tons of catalyst coke. For the purpose of comparison, the United States produced 9.3 million tons of coke from metallurgical grade coal and more than 1.2 billion tons of coal in 2012.

Figure 3. U.S. Refinery Net Petcoke Production


Notes: Catalysts used in various refining processes (e.g., catalytic cracking) become deactivated from the buildup of carbon deposits. In order to reactivate the catalysts, the carbon is burned off and used as a fuel by the refinery. The carbon coke is not recoverable in a concentrated form.

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7 The U.S. Energy Information Administration reported that U.S. refinery net production of petroleum coke in 2012 was 310,481 thousand barrels (U.S. Refinery Net Production, http://www.eia.gov/dnav/pet/pet_pnp_refp2_dc_nus_mbbl_a.htm). 1 metric ton is the equivalent of 5.51 barrels.


Overall, petcoke production reflects refinery capacity utilization rate, which represents the use of the refinery atmospheric crude oil distillation units. The rate is calculated by dividing the gross input to these units by the operable refining capacity of the units. The utilization rate has averaged from 82% to 88%. U.S. refineries have been producing approximately 40 million metric tons of marketable petcoke annually over the period of 2007 through 2012. Refineries, however, need enough light-heavy price spread (LHS) between coker feedstock (heavy resid) and light products (gasoline, jet, and diesel) to run their coking units profitably. With the rising availability of U.S. produced light-sweet crude oil, however, some refineries may choose to cut back on coking, and thus produce less coke. These and other variables lend uncertainty to whether petcoke production will increase, remain steady or decline in the coming years.

**Petcoke Storage Terminals**

Refineries temporarily stockpile petcoke on their facilities, but because they generally lack sufficient storage space must transport it regularly to avoid production slowdowns. Typically, coker drums are mounted over railroad tracks so that coke can be discharged directly into open hopper or gondola cars. The rail cars then transport the petcoke to calcining plants or to temporary storage terminals.

A complete accounting of independent terminals that store petcoke exceeds the scope of this report. However, a CRS survey identified at least four companies with petroleum coke as a primary business line: SSM Petroleum Coke LLC, TCP Petroleum coke Corp, DTE Petroleum Coke, LLC, and Kinder Morgan Petroleum Coke Gp LC. SSM Petroleum Coke is an affiliate of Oxbow Carbon LLC (Koch Industries, Inc.). Koch Carbon, LLC specialize in the global sourcing, supply, handling, and transportation of bulk commodities including, but not limited to, petcoke. TCP Petroleum Coke Corporation is a joint venture between CITGO Petroleum Corporation (CITGO) and RWE Power AG, offering a diversified marketing network to over 30 countries. DTE Petroleum Coke is a subsidiary of DTE Energy, a diversified energy company that includes electric/gas utilities. DTE Energy has reportedly removed the petcoke it stored at its Detroit Bulk Storage site along the Detroit River. Kinder Morgan Petroleum Coke L.P. advertises that it is responsible for handling over 10 million tons of petcoke through several terminals located on the Texas Gulf Coast.

**Petcoke Market and Exports**

Petcoke competes with both coal and metcoke in the international market. Its comparatively higher heating values makes it an economic substitute for steam coal. However, its granular physical properties may add to the cost of material handling, which is reflected in a discounted price compared with coal in the United States. Petcoke prices track coal prices but at discounts in the range of 15% to 85%. Recently U.S. petcoke price have ranged from 67% to 68% of coal prices.

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13 Personal communication with Mike Stewart, Jacobs Consultancy/Petroleum Coke Quarterly.
U.S. petcoke exports have steadily increased over the last decade, as the U.S. market has given way to increased demand overseas (see Figure 4). In 2012, 80% of marketable (i.e., non-catalyst) petcoke was exported. The largest recipients of U.S. petcoke exports in 2012 were China (14%), followed by Japan (11%), Mexico (9%), and Turkey (7%). China’s demand has steadily increased during the last decade.

**Figure 4. Net Petcoke Production vs. Exports**

<table>
<thead>
<tr>
<th>Year</th>
<th>Net Marketable</th>
<th>U.S. Market</th>
<th>Export Market</th>
</tr>
</thead>
<tbody>
<tr>
<td>2005</td>
<td>39,474</td>
<td>16,458</td>
<td>-23,016</td>
</tr>
<tr>
<td>2006</td>
<td>39,818</td>
<td>15,577</td>
<td>-24,241</td>
</tr>
<tr>
<td>2007</td>
<td>38,694</td>
<td>14,450</td>
<td>-24,244</td>
</tr>
<tr>
<td>2008</td>
<td>39,529</td>
<td>14,461</td>
<td>-25,068</td>
</tr>
<tr>
<td>2009</td>
<td>38,105</td>
<td>12,185</td>
<td>-25,920</td>
</tr>
<tr>
<td>2010</td>
<td>38,895</td>
<td>9,155</td>
<td>-29,740</td>
</tr>
<tr>
<td>2011</td>
<td>40,749</td>
<td>7,677</td>
<td>-33,071</td>
</tr>
<tr>
<td>2012</td>
<td>41,376</td>
<td>7,952</td>
<td>-33,424</td>
</tr>
</tbody>
</table>


**Potential Health and Environmental Impacts**

The recent increase in coking capacity in the United States has raised concerns over the potential impacts of petcoke on both human health and the environment. Local concerns include air quality hazards, water quality hazards, and potential exposure to toxic substances. These impacts may arise during various stages of petcoke’s life-cycle, including its production, handling, storage, transportation, combustion, and use. Broader concerns have been raised about the greenhouse gas (i.e., carbon dioxide) emissions from petcoke combustion. The focus of this report, however, is on the impacts of handling and storage, not on end-use combustion.
EPA's Hazard and Toxicity Characterizations

In recent years, the U.S. Environmental Protection Agency (EPA) has surveyed the potential human health and environmental impacts of petcoke through its High Production Volume (HPV) Challenge Program. The HPV Challenge Program, initiated jointly by EPA, Environmental Defense Fund (EDF), American Petroleum Institute (API), and American Chemistry Council (ACC), was instituted for several purposes, including

1. to collect health and environmental effects data on industrial chemicals produced in the United States in high volumes,
2. to provide the public with basic hazard information that would allow for active participation in environmental decision-making at all levels—local, state, and federal, and
3. to provide EPA with valuable hazard and toxicity information to support its mission of protecting human health and welfare.

Companies have sponsored research into more than 2,200 HPV chemicals, with approximately 1,400 administered directly through the HPV Challenge Program and the remainder administered indirectly through international efforts. API sponsored a testing group for the petcoke category, which produced an analysis in December 2007. This analysis was supplemented by EPA, after stakeholder comments, and published in June 2011.

The findings from EPA's hazard characterization of petcoke are summarized in the following sections.

Environmental Fate

Most chemical analyses of petcoke, as referenced by EPA, find it to be highly stable and non-reactive at ambient environmental conditions.

Due to the extreme conditions under which petcoke is produced, qualities such as melting point, boiling point, vapor pressure, and water solubility exist well outside the range of ambient conditions. If released to the environment, petcoke would not be expected to undergo many of the environmental fate pathways which could lead to environmental risks. Depending on the particle size and density of the material, terrestrial releases of petcoke become incorporated into the soil or transported via wind or surface water flow. If released to the aquatic environment, petcoke incorporates into sediment or floats on the surface, depending on the particle size and density in relation to water. Chemically, petcoke is essentially inert. That is, petcoke does not vaporize into the atmosphere, does not react chemically in the presence of water, and does not react chemically

in the presence of light. Furthermore, it is not biodegradable, nor does it bio-accumulate substances—such as toxic chemicals—into its structure.\textsuperscript{18}

**Environmental Toxicity**

Most eco-toxicity analyses of petcoke, as referenced by EPA, find it has a low potential to cause adverse effect on aquatic or terrestrial environments.

The environmental effects of petcoke have been tested along various pathways for exposure in the environment, including both aquatic and terrestrial endpoints in plants and animals. Aquatic and terrestrial toxicity tests have been performed to assess the hazard of petcoke releases to representative aquatic organisms and terrestrial soil-dwelling invertebrates and plants. In these studies, petcoke was found to be non-toxic to terrestrial plants and animals, non-toxic to aquatic animals (both vertebrates and invertebrates), and showed only slight effects on aquatic plants at the exposure levels tested (i.e., studies found slight growth inhibition in freshwater algae).\textsuperscript{19} (The exposure levels and durations were conducted in accordance with EPA and Organization for Economic Co-operation and Development (OECD) recommendations, although, presumably, these tests could be re-administered at higher dosages or intervals to assess the effects of greater concentrations.)

**Human Health Effects**

Most toxicity analyses of petcoke, as referenced by EPA, find it has a low health hazard potential in humans, with no observed carcinogenic, reproductive, or developmental effects. Only animal cases studies of repeated-dose and chronic inhalation have shown respiratory inflammation attributed to the non-specific effects of dust particles rather than the specific effects of petcoke.

Inhalation of and skin contact with petcoke were assessed to be the most likely exposure routes to humans. Most repeated-dose inhalation exposure studies (on rats and primates) found cases of irreversible respiratory effects and significantly increased lung weights. These effects were considered to be non-specific responses of the respiratory tract to high concentrations of dust particles rather than compound specific-induced effects. Petcoke was not found to be carcinogenic via inhalation. No excess skin or visceral cancers were observed in a lifetime skin painting study. Petcoke was not found to produce genetic mutations in bacteria and mammalian cells in standard in vitro toxicity tests or to produce chromosome aberrations of bone marrow in

\textsuperscript{18} Petcoke’s volatilization is negligible, its rate of hydrolysis is negligible, and its rate of atmospheric photo-oxidation is negligible. Neither biodegradation nor bioaccumulation is applicable.

standard in vivo toxicity tests. Petcoke was not found to produce any reproductive or developmental effects following repeated inhalation or exposure to the skin.20

Reactivity

Petcoke is generally stable under normal conditions; however, the substance has the potential to become flammable or explosive. Emissions from the combustion—either accidentally or purposefully—of petcoke can have impacts on human health and the environment, including the release of common pollutants, hazardous substances, and greenhouse gases.

When petcoke is combusted, common pollutants and hazardous decomposition products may be produced such as carbon monoxide, carbon dioxide, sulfur dioxide, nitrogen oxides, particulate matter, and heavy metals, depending upon the chemical composition of the feedstock (see Table 1 for the chemical composition of petcoke). These releases may take place unintentionally, through the natural or unintended combustion of surface or air-borne dust particles, or intentionally, through the combustion of petcoke for electrical power generation or other like purposes.

Petcoke’s use as a fuel is criticized because it commonly has higher greenhouse gas emissions relative to the amount of heat it generates when burned. Table 3 presents potential carbon dioxide (CO₂) emissions for petcoke in comparison to metallurgical coke and several grades of steam coal. When petcoke or coal combust, CO₂ forms from one carbon atom (C) uniting with two oxygen atoms (O).21 Assuming complete combustion, 1 pound of carbon combines with 2.667 pounds of oxygen to produce 3.667 pounds of carbon dioxide. Petcoke with a carbon content of 90% and a heating value of 14,200 Btu per pound emits about 232 pounds of carbon dioxide per million Btu when completely burned.22 Comparatively, Powder River Basin coal with a carbon content of 48% and a heating value of 8,800 Btu per pound emits about 202 pounds of carbon dioxide per million Btu when completely burned, or 15% less than petcoke. Because coal has high hydrogen-to-carbon ratio compared to petcoke, part of its energy content comes from the combustion of hydrogen that is emitted as water vapor instead of carbon dioxide.

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22 Potential carbon dioxide emissions can be calculated by use of the following formula: percent carbon ÷ Btu per pound x 36,670 = pounds (lbs.) of carbon dioxide per million Btu.
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Table 3. Petcoke vs. Coal: Combustion Emissions

<table>
<thead>
<tr>
<th>Fuel</th>
<th>Coal Rank</th>
<th>Sulfur %wt.</th>
<th>Btu / lb.</th>
<th>Carbon %wt.</th>
<th>CO2 lbs./ Million Btu</th>
</tr>
</thead>
<tbody>
<tr>
<td>Petcoke</td>
<td>n.a.</td>
<td>1.5–6.0</td>
<td>14,200</td>
<td>89–92</td>
<td>232</td>
</tr>
<tr>
<td>Metcoke</td>
<td>Metallurgic</td>
<td>0.4–0.7</td>
<td>12,600</td>
<td>91–92</td>
<td>266</td>
</tr>
<tr>
<td>Steam Coal</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pittsburgh #8</td>
<td>Bituminous</td>
<td>3.0</td>
<td>13,000</td>
<td>73–74</td>
<td>207</td>
</tr>
<tr>
<td>Illinois #6</td>
<td>Bituminous</td>
<td>3.9</td>
<td>11,000</td>
<td>60–61</td>
<td>201</td>
</tr>
<tr>
<td>Powder River Basin</td>
<td>Sub-bituminous</td>
<td>0.5</td>
<td>8,800</td>
<td>48–49</td>
<td>202</td>
</tr>
</tbody>
</table>


Notes: Potential carbon dioxide emissions calculated by percent carbon ÷ Btu per pound x 36,670 = pounds (lbs.) of carbon dioxide per million Btu.

Federal Regulatory Requirements

Various aspects of the production, handling, storage, transportation, combustion, and use of petcoke have been addressed at local, state, and federal levels to protect human health and the environment. While some federal statutes address certain environmental impacts of petcoke’s life-cycle, most regulatory action and oversight has been undertaken at the state and local levels, generally through facility-specific permitting requirements. With few exceptions, petcoke is not specifically regulated by local, state, or federal codes. Rather, it is petcoke’s potential contribution to more general hazards (e.g., air and water quality impacts such as haze, fugitive dust, and stormwater runoff) that is monitored and controlled through various permitting and reporting requirements at the state and local levels. This report focuses on the federal response to petcoke and on the rules that may be implemented during the handling, storage, and transportation phases of petcoke’s life-cycle. States may also have their own laws or regulations related to the handling, storage, and transportation of petcoke, specifically, or high-production-volume industrial substances like petcoke, more generally; a full review of state and local code is beyond the scope of this report.

Regulatory agencies at all levels of government commonly aim to manage the human health and environmental impacts of industrial materials (e.g., petcoke) based upon thorough assessments of their hazardous exposure pathways. Because of its relative inertness, exposure to petcoke is considered to pose few human health and environmental risks. Thus, federal law generally exempts petcoke from classification as either a solid or hazardous waste. Despite these exemptions, petcoke may nevertheless present significant nuisance concerns. A “nuisance” is the unreasonable, unwarranted and/or unlawful use of property, which causes inconvenience or damage to others, either to individuals or to the general public. A nuisance may not violate any

23 In a survey of state statutes, conducted by the U.S. Library of Congress’s Law Library for this report on August 9, 2013, California was found to be the only state which has passed laws to directly manage the environmental impacts from the handling, storage, and transportation of petcoke. California State Code on petcoke included California Code–HSC Section 40459 (requirements for enclosing piles of petcoke when storing the substance prior to shipment), http://www.leginfo.ca.gov/cgi-bin/displaycode?section=hsc&group=40001-41000&file=40440-40459, and California Code–VEH Section 23114 (requirements for the transportation of petcoke by vehicle), http://www.leginfo.ca.gov/cgi-bin/displaycode?section=veh&group=23001-24000&file=23100-23135.

24 A nuisance may be either a private nuisance or a public nuisance. An activity constitutes a private nuisance if it is a

(continued...)
regulatory standard or cause demonstrable environmental or health impacts; however, if a nuisance interferes with a person’s use of his or her property, it may be the basis for a lawsuit for damages or an injunction. For example, fugitive dust from petcoke storage piles can be deposited on and in nearby waterways, outdoor areas, or residents’ homes, leaving a black- or grey-colored residue. This deposition may result in undesirable and unsightly conditions, interfering with residents’ comfort and use of their property. Similarly, dust from coke piles can challenge the operations of commercial or industrial facilities, such as pharmaceutical research and production plants, electronics assembly, or fuel cell membrane manufacturing. Dust from nearby coke piles can increase filtration costs or threaten the integrity of strict quality control standards required for such high technology operations.

In light of these issues, the monitoring and management of petcoke at the federal level is summarized in the following sections.

**Waste Classifications**

Federal law generally exempts petcoke from classification as either a solid or hazardous waste.

The exemption for petcoke from classification as either a solid or hazardous waste stems from the scope of the statutory term “solid waste” as decided in *American Mining Congress v. U.S. EPA.*

In that decision, the court held that materials recycled and reused in an ongoing manufacturing or industrial process were not considered to be “discarded,” and hence, not considered to be “solid wastes.” Furthermore, in 1998, EPA identified a list of petroleum refining wastes that would be subject to federal regulations applicable to the management of hazardous waste established under the Resource Conservation and Recovery Act (RCRA). In this rulemaking, EPA stated that petcoke is not a refining waste, but rather a “co-product” of the refining process. In separate rulemaking, EPA included petcoke among other fuels in its definition of “traditional fuels” (at 40

(...continued)

substantial and unreasonable invasion of another’s interest in the private use and enjoyment of land, without involving trespass. Private nuisance actions are brought by the aggrieved landowner. An activity is a public nuisance if it creates an “unreasonable” interference with a right common to the general public. Unreasonableness may rest on the activity significantly interfering with, among other things, public health and safety. Public nuisance cases are usually brought by the government rather than private entities, but may be brought by the latter if they suffer special injury.

25 *American Mining Congress v. U.S. EPA*, 824 F.2nd 1177 (D.C. Cir. 1987). The court held that the EPA exceeded its authority by amending its definition of “solid waste” under the Resource Conservation and Recovery Act (RCRA) to include secondary materials destined for reuse within an industry’s ongoing production process. The court held that EPA’s interpretation is contrary to RCRA’s plain language (§ 1004(5) defines solid waste to include “discarded material”), and that EPA’s inclusion of materials retained for immediate use as discarded material strains the everyday usage of that term.

26 42 U.S.C. 6901 et seq. For further discussion of the authorities of RCRA, see CRS Report RL30798, *Environmental Laws: Summaries of Major Statutes Administered by the Environmental Protection Agency*, coordinated by David M. Bearden.

27 See U.S. Environmental Protection Agency, Final Rule, “Hazardous Waste Management System; Identification and Listing of Hazardous Waste; Petroleum Refining Process Wastes; Land Disposal Restrictions for Newly Identified Wastes; and CERCLA Hazardous Substance Designation and Reportable Quantities,” August 6, 1998, 63 *Federal Register* 42110. “The coke product itself may best be characterized as a co-product of the coking operation, while the principal products are the light ends that are returned to the refining process. Thus, the Agency is affirming that the conventional coking operation is a production process, resids are normal feedstocks to this process and petroleum coke is a legitimate fuel product.” Id, at page 42121.
Petroleum Coke: Industry and Environmental Issues

C.F.R. 241.2). As a result of these determinations, unless or until it is discarded, petcoke would not be subject to federal waste management requirements established under RCRA.

Petcoke would not be subject to the federal cleanup authorities of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA, often referred to as Superfund) because of the exclusion of petroleum from the statute. The response authorities of CERCLA specifically apply to the release of hazardous substances, pollutants, or contaminants into the environment. Hazardous substances under CERCLA are broader than hazardous wastes under RCRA and include hundreds of toxic chemicals. However, CERCLA defines a hazardous substance, pollutant, or contaminant to exclude “petroleum, including crude oil or any fraction thereof which is not otherwise specifically listed or designated as a hazardous substance.” EPA’s interpretation has been that hazardous substances present in petroleum that are naturally occurring or are normally added during the refining process are fractions of the petroleum that would fall within the scope of the exclusion from CERCLA. EPA’s position has been that CERCLA may be applied to the cleanup of wastes containing petroleum, if the wastes contain hazardous substances that are not part of the petroleum product itself. Hazardous substances that may leach from a petroleum product into the environment, and therefore no longer be part of the petroleum product, may raise other issues.

**Industrial Stormwater Runoff**

The handling and storage of petcoke may be regulated under certain provisions of the National Pollutant Discharge Elimination System (NPDES) permit program, as authorized by the Clean Water Act (CWA), if it is determined that runoff from storage sites due to rain or snowmelt has the potential to transport the substance to nearby surface waters.

Activities that take place at industrial facilities, such as material handling and storage, are often exposed to the weather. As runoff from rain or snowmelt comes into contact with these activities, it can pick up pollutants and transport them to a nearby storm sewer system or directly to a river, lake, or coastal water. Recognition of the water quality problems of stormwater runoff led Congress in 1987—when it last comprehensively amended the CWA—to direct EPA to implement a specific permit program for stormwater discharges from industrial sources and municipalities (P.L. 100-4). These stormwater requirements were incorporated into the National

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29 The definition of the term “hazardous substance” in Section 101 (14) of CERCLA is codified at 42 U.S.C. 9601(14). The definition of the terms “pollutant” and “contaminant” in Section 101(33) of CERCLA is codified at 42 U.S.C. 9601(33).


Pollutant Discharge Elimination System (NPDES), a comprehensive permit program authorized in Section 402 of the CWA. Under the act, it is illegal to discharge pollutants from point sources (e.g., industrial plant pipes, sewage treatment plants, or storm sewers) into the nation’s waters without a permit. NPDES permits are the fundamental compliance and enforcement mechanism of the law. EPA manages the NPDES stormwater program in four states (Idaho, Massachusetts, New Hampshire, and New Mexico), plus the District of Columbia and most U.S. territories, and has delegated that authority to the remaining 46 states and the Virgin Islands. Therefore, the vast majority of industrial and other facilities obtain NPDES permit coverage for stormwater discharge through their state. Petroleum refining facilities are one of several categories of facilities that are specifically covered under the CWA stormwater regulatory program.

Common requirements for coverage under an industrial stormwater permit include development of a written stormwater pollution prevention plan (SWPPP), implementation of control measures, and submittal of a request for permit coverage, usually referred to as the Notice of Intent (NOI). The SWPPP is a written assessment of potential sources of pollutants in stormwater runoff and control measures that will be implemented at the facility to minimize the discharge of these pollutants in runoff from the site. These control measures include site-specific best management practices, maintenance plans, inspections, employee training, and reporting. The procedures detailed in the SWPPP must be implemented by the facility and updated as necessary, with a copy of the SWPPP kept on-site. The industrial stormwater permit also requires collection of visual, analytical, and compliance monitoring data to determine the effectiveness of implemented best management practices. Stormwater permits are valid for up to five years and must be renewed.

Best management practices for the prevention of industrial stormwater runoff include ensuring adequate storage facilities and equipment, spill detection and repair, and employee training. Many environmental agencies, including EPA, provide extensive summaries of best management practices.33

Fugitive Dust

The handling, storage, and transportation of petcoke may create instances of reduced air quality due to weather or activity related releases of fugitive dust into the atmosphere. Most of the impacts of fugitive dust are localized; and thus, much of the regulatory oversight is implemented at the local and state level and generally takes the form of a fugitive dust control program.

Facilities may be required by state or local agencies to develop a fugitive dust control program for many reasons. State and local agencies, based on their own air emission measurements, their own code of regulations, environmental consent orders, or complaints of nuisance, may require a fugitive dust program from any facility if it processes, uses, stores, transports, or conveys bulk materials from a highly emitting dust source. Further, these programs are often a necessary component to any air permitting requirements at the state and local level, including permits to install, operate, or decommission a facility. At the federal level, Clean Air Act (CAA)34 National

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Ambient Air Quality Standards (NAAQS) have been set nationwide by EPA for particulate matter (PM).\textsuperscript{35} NAAQS are standards for outdoor (ambient) air that are intended to protect public health and welfare from harmful concentrations of pollution. If fugitive dust generation is determined to be an issue at a facility that produces, handles, stores, transports, or uses petcoke, and if the facility is situated in an area that is identified by the EPA as “nonattainment” for PM NAAQS, then it may be possible for state authorities to ask the facility to report on and manage its fugitive dust emissions—if it is not doing so already—within the context of their State Implementation Plans (SIPs).

Whether petcoke storage is considered a significant source of PM depends a number of factors, including how the coke is handled (e.g., number of drops), individual petcoke particle sizes and the size of the overall petcoke piles, as well as the storage method. In some cases, petcoke may have been processed through pulverization that generates dust which could be monitored and controlled at PM\textsubscript{2.5} (less than 2.5 microns). PM\textsubscript{2.5} can produce greater health impacts because it is more respirable than “coarse” PM which is larger than 2.5 microns. PM that is greater than about 10 microns is generally considered less of a health risk because it is less respirable. As illustrated in Figure 1, most forms of petcoke are comprised of granules orders of magnitude larger than PM\textsubscript{2.5}, and are not likely respirable, but may pose a nuisance concern. Also, in some cases, petcoke storage may be ephemeral because markets support frequent elimination of stored inventories.

The management of fugitive dust commonly involves the submission of a fugitive dust plan to state or local agencies. These plans would include an analysis of the quantity and opacity of fugitive dust from the facility; a determination of the type of fugitive dust control methods that would be the most effective, taking into account the quantity, moisture content, specific gravity, and particle size distribution of the bulk materials on-site; an assessment of the type of control technologies, methods, and equipment to be implemented or installed, and the schedule for implementation or installation; and a report on the level of recordkeeping and maintenance requirements for activities that are implemented under the dust program. Fugitive dust plans commonly set out an operating program designed to significantly reduce emissions to the lowest level that a particular source is capable of achieving by the application of control technology that is both reasonably available and based on technological and economic feasibility. The requirement for fugitive dust plans for a given facility and the plan’s enforcement remain at the discretion of the state and local agencies.

Best management practices for the prevention of fugitive dust include ensuring adequate storage facilities and equipment, emission detection and repair, and employee training. Many environmental agencies, including the U.S. EPA, provide extensive summaries of best management practices.\textsuperscript{36}

\textsuperscript{35} For further discussion of particulate matter, see CRS Report RL34762, The National Ambient Air Quality Standards (NAAQS) for Particulate Matter (PM): EPA’s 2006 Revisions and Associated Issues, by Robert Esworthy.

Regulatory Standards for Petcoke Combustion in Power Generation

The combustion of petcoke, and the resulting emissions from this combustion, may be regulated under several different provisions in the CAA and the CWA.

When petcoke is used for industrial or power generating purposes, emissions from its combustion are regulated under the standards set on the respective facilities. For example, some of the federal regulations which may be implemented by the combustion of petcoke at industrial or power generating facilities include EPA’s New Source Performance Standards for Electricity Generating Units, Clean Air Interstate Rule (CAIR) for NO\textsubscript{x} and SO\textsubscript{2}, CAA Title V Permitting Requirements, GHG Reporting Program, Steam Electric Effluent Guidelines, recently finalized Mercury and Air Toxics Standards/Utility MACT, and proposed Coal Combustion Waste Rules, as well as the various state rules under State Implementation Plans.

A full description of these requirements is beyond the scope of this report.

Conclusion

Petcoke production depends on the crude oil demand of operating refineries that in turn depend on the availability of heavy and light crude oils and their comparative cost advantages. The domestic production of petcoke may increase as U.S. refineries continue to add coking capacity to take advantage of competitively priced petroleum produced from Canada’s oil sands and other heavy crude oil sources. Conversely, as U.S. light crude oil production increases, U.S. coking refineries may find an economic advantage in switching to lighter crude oils and idle their coking units. If that occurs, the production and export of U.S. petcoke may reverse.

Community stakeholder and regulator concerns about fugitive dust emission into the air and stormwater runoff into waterways are likely to continue in situations where there is not sufficient mitigation and abatement. In some states, permit conditions have been imposed to mitigate the emissions from petcoke storage and handling. The specific permit conditions (e.g., enclosed conveyors and storage silos) are generally based on best management practices as determined by state regulators. At the federal level, Clean Air Act (CAA) National Ambient Air Quality Standards (NAAQS) for outdoor (ambient) air continue to protect public health and welfare from harmful concentrations of particulate matter pollution. If states determine that fugitive dust generation is an issue at a facility that produces, handles, stores, transports, or uses petcoke, and if the facility is situated in an area that is identified by the EPA as “nonattainment” for PM NAAQS, then state authorities may ask the facility to report on and manage its fugitive dust emissions—if it is not doing so already—within the context of their State Implementation Plans (SIPs). States and localities may also have their own regulatory standards for fugitive dust, independent of whether the area is in nonattainment of federal PM NAAQS.

In light of these concerns, industry, regulators, and compliance officers have shown a continued interest in impact assessment and best practices related to the storing, containing, and managing of petcoke. Two bills have been introduced in the 113\textsuperscript{th} Congress regarding petcoke: H.R. 2298, the Petroleum Coke Transparency and Public Health Study Act (introduced 6/6/2013), and S. 1388, Petroleum Coke Transparency and Public Health Study Act (introduced 7/30/2013). Each would require the Secretary of Health and Human Services, in consultation with the Administrator of the Environmental Protection Agency, to conduct a study on the public health and environmental impacts of the production, transportation, storage, and use of petcoke.
Appendix. Petroleum Refining and Petcoke Production

Petroleum refineries use several key processes to convert crude oil systematically into refined products; these include atmospheric distillation, hydrocracking, hydrotreating, reforming, and ultimately coking. The refinery’s atmospheric distillation column initially separates crude oil into lighter streams of hydrocarbons based on their boiling temperatures. The gasoline-range of petroleum distillates condense at the top of the column. Middle distillate fuels (kerosene, jet, and diesel fuels) condense in the middle of the column. The heavier-still range of gas oils condense lower in the column. Residuum, a heavy tar-like material figuratively referred to as the “bottom of the barrel,” has such a high boiling temperature that it remains at the bottom of the column.

In order to produce more gasoline, refineries “crack” the heavier distillation products into the gasoline range with heat, pressure, hydrogen, and catalysts. Hydrotreating removes elemental sulfur from gasoline and middle-distillate fuels through a reaction with hydrogen gas.

Coking dates back to the late 1920s, but became an important process for U.S. refineries during the 1980s and 1990s. During this time, refineries faced a dwindling supply of light sweet crude oils favored for making gasoline and distillate fuels. They began switching to increasingly more available, heavy-sour crude oils. The resid that remained after refining heavier crudes initially found use as “ship’s bunker fuel” and as boiler fuel in electric power plants. With the implementation of Clean Air Act regulations, power plants switched from boiler fuel to cleaner burning natural gas. During the same era, the demand for gasoline increased, and refineries began adding coking to convert the “resid” into motor fuels.

Coking initially converts petroleum residuum into lighter range hydrocarbons; low-Btu gas that can serve as a fuel in refinery operations; and “green coke.”

Refineries commonly employ one of three types of coking processes:

- **delayed coking**—a thermal cracking process that converts residuum into gasified products streams and concentrated carbon coke. It is called “delayed coking” because cracking takes place in a coke drum rather than in a furnace or reactor. The residuum is heated in a furnace first, and then fed into the bottom of the coke drum. The “cracked” light products are drawn off at the top of the drum and sent to a fractionator which separates out gasoline, naphtha, gas oil, and lighter products. The drums are “de-coked” by hydraulic or mechanical cutting processes. In delayed coking, one coking drum is filled while a second is de-coked (emptied). First commercialized in 1928, delayed coking predominates among U.S. refineries that process heavy crude oil. See Figure A-1.

- **flexi-coking**—a continuous fluidized-bed thermal cracking process integrated with coke gasification. It converts most of the carbon coke to carbon monoxide (CO), which is then mixed with carbon (C2) and lighter hydrocarbons to produce a low quality fuel gas. The process was commercialized in 1976. See Figure A-2.

- **fluid coking**—a variation on flexi-coking that uses a cyclone to separate the coke. The process was commercialized in 1954.
Independent processors convert the green coke into either fuel grade or anode grade coke depending upon the crude oil refined and the coking process used.
Figure A-3. U.S. Refineries with Coking Capacity
by Petroleum Administration for Defense Districts (PADD)

Source: Prepared for CRS by the Library of Congress.

Notes: See Table A-1 for a list of refineries.
Figure A-4. Coking Refineries by PADD

Source: Compiled by CRS from various sources.
Figure A-5. Refining and Coking Capacity by PADD

Source: Compiled by CRS from various sources.

Notes: Coking capacity denotes the throughput capacity to process petroleum resid.
### Table A-1. U.S. Refineries with Coking Capacity

Advertised Refinery Capacity in Barrels per Day (Bbl/D)

<table>
<thead>
<tr>
<th>PADD</th>
<th>St</th>
<th>City</th>
<th>Zip</th>
<th>Facility</th>
<th>Bbl/D</th>
<th>Congressional District</th>
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<td>NJ</td>
<td>Paulsboro</td>
<td>08066</td>
<td>PBF/ Paulsboro Refinery</td>
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<td>1st New Jersey</td>
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<tr>
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<td>DE</td>
<td>Delaware</td>
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<td>PBF/Delaware City</td>
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<td>IL</td>
<td>Roxana</td>
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<td>Drummond</td>
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<td>2&lt;sup&gt;nd&lt;/sup&gt; Washington</td>
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</table>

Source: Various

Notes: Alon operates three units in Bakersfield, Paramount, and Long Beach, CA, as one refinery, but the delayed coker is reported as inactive.
Author Contact Information

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